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| TRANSMITTAL LETTER (General - Patent Pending) | | 1653 | Docket No. 44342.013100 |
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In Re Application Of: Shinji MATSUYAMA, et al.

Serial # 09/913521
#5 / I.D.S.

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|---------------------|--------------------------------|-------------------|-----------------------|
| Serial No. N/Y/A | Filing Date August 13, 2001 | Examiner N/Y/A | Group/Art Unit N/A |
|---------------------|--------------------------------|-------------------|-----------------------|

Title: **CHAIN-SHORTENED POLYNUCLEOTIDE AND METHOD FOR PREPARATION THEREOF**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is: Information Disclosure Statement, Information Disclosure Citation along with (13) cited references.

in the above identified application.

- ☐ No additional fee is required.
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Eugene C. Rzucidlo

 Signature

Dated: 10/08/01

Eugene C. Rzucidlo, Esq.
 GREENBERG TRAURIG, LLP
 885 Third Avenue, 21st Flr.
 New York, New York 10022
 Attorney for Applicants
 Reg. No. 31,900

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

44342.013100

In Re Application Of: Shinji MATSUYAMA, et al.

Serial No.

N/Y/A

Filing Date

August 13, 2001

Examiner

N/Y/A

Group Art Unit

N/Y/A

Title: CHAIN-SHORTENED POLYNUCLEOTIDE AND METHOD FOR PREPARATION THEREOF

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

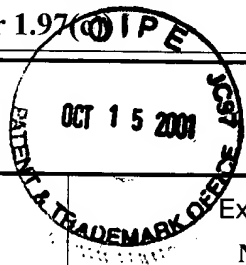
whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



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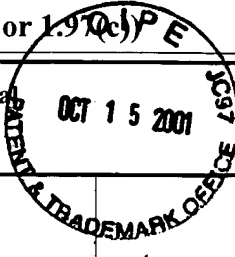
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

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Serial No.

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Dated:

10/08/01

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